

Information governance and legal solutions for energy and resources

Mitigate legal and regulatory risk with data-driven AI



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“Executive leaders should start with defining a discrete set of measurable information governance objectives aligned to urgent, systemic business problems or opportunities that cannot be solved in a silo. This approach shifts the objective of the information governance framework. Rather than focusing on building comprehensive data-focused rules—often seen as an impediment to business goals—focus instead on delivering enterprise impact by solving high-value information governance challenges.”¹

Industry backdrop

The energy and resources sector is undergoing rapid digital transformation, exposing companies to increased litigation, compliance, and regulatory scrutiny. Companies in the oil and gas, utilities, chemicals, metals & mining, and engineering, procurement, and construction (EPC) industries have become more complex in terms of operational scale, process optimization, and health, safety, and environmental (HSE) risks. To avoid legal exposure, regulatory fines, and bad publicity, they must respond to increasing threats.

A full spectrum of legal tasks and obligations require a wide range of core technologies leveraging AI, analytics, content management, information governance, and process automation. Applying AI, advanced analytics, and automation equips staff to interrogate data to assess case merits, develop strategy, and find facts faster to make risk-adjusted decisions for litigation, investigations, and regulatory obligations.

This paper outlines how OpenText helps companies of all sizes and types across the global energy and resources sector enhance business agility with a strong information management framework and improved litigation and regulatory readiness. As a result, organizations are able to:

- Make smarter legal and business decisions.
- Optimize operational and information governance activities to maximize ROI and minimize risk.
- Assess, repurpose, and reuse data with a purpose to improve cost certainty.

¹ Gartner, 3 Questions Leaders Must Address to Drive Successful Information Governance, June 2021

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62% of legal, compliance, and privacy leaders say their board of directors identified information governance as a priority, leading to additional investments.²

Business outcomes

The strategy to reduce spending and the cost of compliance in support of legal matters and regulatory actions requires dynamic, outcome-driven, enterprise-wide information governance and purpose-built legal technology. Implementing data management initiatives underpinned by information governance and data-driven AI insights will help to:

Improve case strategy

Assess case merits, develop strategy, and find facts faster with intuitive, reliable advanced analytics, machine learning, and generative AI (GenAI) features. Use this improved insight to make better risk-adjusted decisions and demonstrate proactive compliance effectiveness.

Operationalize eDiscovery

End-to-end capabilities that enable data-driven insights support greater costs certainty for litigation, investigations, and regulatory compliance.

Ensure defensible records management

Centralized information management and classification reduces the risk of over-retention and redundant, out-of-date information.

Boost productivity

Leverage automation and AI/analytics to expedite data review and reduce outside counsel spending.

Build customer trust

Minimize risk and reputational harm by getting in front of issues and uncovering material facts up front.

Realizing tangible benefits

An enterprise-wide information management and litigation readiness framework allows energy and resources companies to:

- Gather data-driven insights for early case assessment and investigation activities.
- Defensibly preserve and collect data to support litigation and investigations.
- Design automated, editable processes to meet changing regulatory requirements.
- Improve eDiscovery review efficiency by applying technology assisted review (TAR).
- Leverage the power of large language models and generative AI for crucial legal applications.
- Consistently apply retention in line with corporate and regulatory requirements.
- Provide flexible deployment models including off-cloud, hybrid, and cloud solutions.

² Gartner, 3 Questions Leaders Must Address to Drive Successful Information Governance, June 2021



OpenText vision to bolster information governance and legal preparedness

OpenText can provide:

Automation of legal and compliance processes

Many companies have invested heavily in risk management, internal controls, auditing, and reporting capabilities. OpenText can further assist in streamlining and automating critical processes and program activities, including legal hold management for litigation and investigations.

An AI-driven, end-to-end smart legal platform

Stay ahead of challenges by using legal AI tools to protect the organization, enhance strategic decision-making, and drive efficiency. Leveraging secure AI technologies, including advanced analytics, machine learning, and generative AI, OpenText delivers comprehensive eDiscovery capabilities. Legal teams benefit from proactive, data-driven insights through automated document classification, case summarization, and pinpointing key evidence, reducing costly legal reviews. Generative AI further optimizes case strategies and outside counsel spending, ensuring compliance and productivity in complex, regulated environments.

Investigations services and support

Companies can leverage our investigations services team to answer critical questions and quickly formulate case strategy associated with an internal, compliance, or regulatory investigation. We bring decades of practical experience and deep technical knowledge to deliver efficient, defensible, and cost-effective results. This includes applying different workflows and techniques including our rapid analytic investigation review (RAIR).

Multi-matter management

litigation and investigations often involve reviewing data from the same employees or work product and documents from similar investigations and litigations. OpenText can help centralize discovery data to reuse documents and coding decisions across similar investigations and litigation matters to save on costs.

Enterprise-wide information governance

By placing greater focus on information management, energy and resources companies can simplify and accelerate control and management throughout the information lifecycle from creation to disposition. This includes enabling a centralized method to store, maintain, report, and remove information. Multi-repository records control and tracking can be attained through policy-based document and records management, enabling effective retention, archiving, and defensible deletion of data from various systems.

Resources

[Utilities | OpenText](#) ›

[Oil and Gas | OpenText](#) ›

[Chemicals | OpenText](#) ›

[Metals and Mining | OpenText](#) ›

[Engineering, Procurement
and Construction | OpenText](#) ›

Proposed next steps

Let's identify how an enterprise-wide information governance framework can deliver exceptional customer experiences while reducing compliance risk and supporting legal and regulatory obligations. Below are suggested next steps:

- **Introductory meeting:** Bring together the OpenText Global Account Director or Senior Account Representative with your organization's business leaders supporting legal and regulatory needs (e.g., Legal, Compliance, Privacy, Records Management).
- **Conduct a discovery workshop:** Review and assess the current state of your organization's information governance and readiness strategy in the context of compliance, investigation, and litigation support needs.
- **Map out a solution:** Conduct a value assessment aligned with priorities, existing software, and corporate initiatives.



Phil Schawrz

Sr. Industry Strategist – Energy & Resources

Email: pschwarz@opentext.com

LinkedIn: <https://www.linkedin.com/in/philipschwarz/>